



**SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN**  
**REGISTRATION FORM**  
**CORPORATE BROKER PAKISTAN MERCANTILE EXCHANGE**  
**LIMITED**

<b>Sr. No.</b>	<b>Particulars</b>	<b>Details</b>
1	Name of the member	
2	Member's Code number	
3	Tenure of membership along with the supporting documents	
4	Trade name of member	
5	Address of the member	
6	Telephone Number/s	
7	Fax Number	
8	Names of Directors and Copies of their Computerized National Identity Cards	
9	Name of Nominee Director	
10	Number of branches their addresses, telephone and fax numbers and the name of contact person at each branch.	
11	Form of organization (Public or Private Company)	
12	A copy of Memorandum and Articles of Association	
13	Educational qualifications of applicant director; provide attested copies.	
14	Is the application for relaxation in educational qualifications applied? If yes, attach its copy.	
15	Is a relaxation in educational qualifications recommended by the Exchange to the member on the basis of his application?	
16	If the director is also the director of any other brokerage house or is running any other brokerage house provide name of brokerage house and the period for which he has been	

	associated with it.	
17	Whether the applicant director or its sales personal or approved user has passed any certification program concerning the business? If so please provide details.	
18	What is the applicant's experience of dealing in the commodities, stock markets or any other commodity markets? Attach copies of experience certificates.	
19	Applicant's date of admission to the Pakistan Mercantile Exchange Limited.	
20	Certificate of net capital balance as required by Rule 11 (n) of the Commodities Exchange and Futures Contracts Rules.	
21	Certificate of net worth as required by Rule 11 (n) of the Commodities Exchange and Futures Contracts Rules.	
22	Whether any complaints are pending against the applicant before any of the Stock Exchanges of which he is a member? If yes please provide details.	
23	Whether the applicant director has ever been convicted of an economic offence. If yes provide all relevant details.	
24	Whether the applicant director has ever been declared insolvent or bankrupt by the court or any of the Stock Exchanges. If so please furnish details.	
25	Attach Affidavit in compliance with (a) to (n) of Rule 11 of the Commodities Exchange and Futures Contracts Rules 2005, along with the documentary evidence and any other detail deemed necessary.	
26	Application processing fee deposited? Attached duly paid challan.	
We declare that the information given in this form is true to the best of our knowledge and belief. We also declare that any change occurring in the information given in this application and the accompanying documents, shall be reported to the Commission, the same day.		
Name and Signature of the applicant director.		
Date		

