



PAKISTAN
MERCANTILE
EXCHANGE

Circular No.: PMEX/MKT, BD&CSS/2016/17

April 14, 2016

Submission of Documents for Renewal of Broker Registration

This is to draw the kind attention of PMEX brokers to our Circular No. NCEL/Compliance/12-2010 and PMEX/MEM/16-2012 dated April 28, 2010 and April 30, 2012 respectively. In these circulars, the brokers were requested to submit the complete documents for renewal of broker registration at least 60 days prior to the expiry of their broker registration so that the same may be scrutinized and forwarded to the Securities and Exchange Commission of Pakistan (SECP) at least 45 days before the expiry date of certificate of registration.

However, it has been noted with concern that some brokers are not complying with the directives of the SECP despite issuance of circulars and reminders by the Exchange.

Brokers are requested in their own interest to ensure strict compliance with the directives of the SECP to avoid any disciplinary action.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021-111-623-623, 0300-8213-324 and 0321-8756-623 or by email at support@pmex.com.pk.

Best regards,

Syed Mumtaz Ali
Chief Compliance Officer