

Circular No.: PMEX/MKT, BD&CSS/2018/37

October 22, 2018

Information and Details relating to Anti Money Laundering and Countering Financing of Terrorism Risk Based Approach

This is in continuation to circular No. PMEX/MKT, BD&CSS/2018/33 dated October 04, 2018.

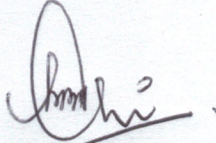
All brokers are hereby informed that the date for the submission of following information has been extended till October 29, 2018:

1. Copy of updated Anti Money Laundering (AML) and Know Your Customer (KYC) policy duly approved by its Board of Directors;
2. Report on entity level risk assessment as required by the Regulations as per the templates provided in the Guidelines;
3. Report on control assessment as required by the Regulations as per the templates provided in the Guidelines.

All brokers are advised to submit the aforementioned information to the Exchange latest by **October 29, 2018.**

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021-111-623-623 and 0300-8213-324 or by email at support@pmex.com.pk.

Best regards,



Syed Mumtaz Ali
Chief Regulatory Officer