

**Status Report of Suspicious Transaction Reports (STRs) filed with Financial Monitoring Unit**

Attention of all brokers is invited to Regulation 14(8) of the Securities and Exchange Commission of Pakistan (Anti Money Laundering and Countering Financing of Terrorism) Regulations, 2018, which requires that:

*“Regulated person without disclosing the contents of STRs, shall intimate to the Commission on bi-annual basis the number of STRs reported to FMU and the regulated person shall ensure that status report (indicating No. of STRs only) shall reach the AML Department within seven days of close of each half year”.*

Accordingly, all brokers are hereby advised to submit the **“STR Filing Status Report”** for the half year ended December 31, 2018 with the SECP under intimation to the Exchange latest by **January 18, 2019** as per the format given below:

Name of Company	
Number of STRs Reported	
Period Ended	

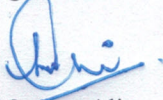
The above information is required to be submitted on the following e-mail addresses:

1. [AML.DEPT@SECP.GOV.PK](mailto:AML.DEPT@SECP.GOV.PK)
2. [COMPLIANCE.REPORTING@PMEX.COM.PK](mailto:COMPLIANCE.REPORTING@PMEX.COM.PK)

Going forward, brokers shall ensure submission of such status report bi-annually **within seven days** of end of each half year. Please note that in case the broker has not filed any STR with FMU during the period then the same must be reported as **“NIL”** on the above format within the stipulated time period.

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021-111-623-623, 0300-8213-324 and 0321-8756-623 or by email at [support@pmex.com.pk](mailto:support@pmex.com.pk).

Best regards,



Syed Mumtaz Ali  
Chief Regulatory Officer