

## Documents Required for New TRE Certificate

Documents
1. Prior-permission of the SECP to undertake the futures broker
2. Completed TREC application form duly signed and stamped as per format
3. Attested copy of: <ol style="list-style-type: none"> <li>a) Memorandum and Articles of Association with PMEX related clause</li> <li>b) Certificate of Incorporation</li> <li>c) Latest Forms A (Not applicable for new company)</li> <li>d) All Forms 28 and 29 subsequent to latest Form A</li> <li>e) Form 3 (where applicable)</li> </ol>
4. Financial statements of last three years audited by an Auditor from a list maintained by ICAP on its official website ( <a href="https://icap.org.pk/">https://icap.org.pk/</a> ) having satisfactory QCR rating. Net worth should not be less than Rs. 10 million, latest unaudited quarterly accounts and unaudited half yearly accounts.
5. Latest filed income tax return of company, (Not applicable for new company)
6. Following documents of sponsors, directors and senior management officers: <ol style="list-style-type: none"> <li>a) CV in prescribed as per format</li> <li>b) Attested copies of educational certificates</li> <li>c) Attested copies of experience certificates</li> <li>d) Two recent colored photographs</li> <li>e) Attested copy of CNIC/Passport</li> <li>f) Evidence of name on Active Taxpayers List (ATL)</li> <li>g) Undertaking on prescribed format stamp paper as per format</li> <li>h) Copy of FCM and PMR Certificates, issued by IFMP to CEO</li> <li>i) Undertaking of CEO on letterhead as per format</li> </ol>
7. Information of sponsors, major shareholders, directors and Senior Management Officer as per format
8. Identification of sponsors on company letter head
9. Certified copy of Board Resolution authorizing chief executive to apply for PMEX TREC as per format
10. Reference letter from principal bank
11. Company profile
12. Pay Order / Demand Draft Payable to “Pakistan Mercantile Exchange Limited” <ol style="list-style-type: none"> <li>a) Rs. 3,500,000 (for Universal TRE Certificate)</li> <li>b) Rs. 1,000,000 (for Commodity Specific TRE Certificate)</li> <li>c) Rs. 100,000 (1<sup>st</sup> year annual subscription fee on pro-rata basis)</li> <li>d) Rs. 25,000 (Application Processing Fee for New TREC)</li> </ol>

For further information and assistance, please feel free to contact our Customer Support Services by phone on 021-111-11-7639 or by email at [support@pmex.com.pk](mailto:support@pmex.com.pk)